



## **Normal Aging in the Basal Ganglia Evaluated by Eigenvalues of Diffusion Tensor Imaging**

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# Normal Aging in the Basal Ganglia Evaluated by Eigenvalues of Diffusion Tensor Imaging

**BACKGROUND AND PURPOSE:** The age effect on the diffusion pattern in the basal ganglia differs from that in the white matter. The main purpose of our study was to provide further insight into the change of water diffusion in the basal ganglia during human brain aging by using the eigenvalues of DTI.

**MATERIALS AND METHODS:** We examined 71 healthy subjects (mean age,  $41.8 \pm 14.5$  years; age range, 20–79 years). The values of MD, FA, and the eigenvalues  $\lambda_1$  and  $\lambda_{23}$  ( $\lambda_{23} = [\lambda_2 + \lambda_3] / 2$ ) were determined in regions of the head of the caudate nucleus, putamen, globus pallidus, and in some regions of white matter. The age-dependence of these measurements was tested for statistical significance by using the Pearson correlation analysis.

**RESULTS:** A significant reduction of MD with aging was found in the head of caudate nucleus ( $r = -0.319$ ,  $P = .007$ ) and putamen ( $r = -0.410$ ,  $P < .001$ ), and an increase in FA with aging was found in the putamen ( $r = 0.535$ ,  $P < .001$ ). Eigenvalue  $\lambda_{23}$  showed a significant age-related decrease in the putamen ( $r = -0.451$ ,  $P < .001$ ) and the head of the caudate nucleus ( $r = -0.312$ ,  $P = .008$ ), but no significant changes of  $\lambda_{23}$  were found in the globus pallidus. The results for eigenvalue  $\lambda_1$  in the head of caudate nucleus ( $r = -0.299$ ,  $P = .011$ ) were close to statistical significance.

**CONCLUSIONS:** The eigenvalues provide more insights into the different diffusion patterns in the basal ganglia during human brain aging.

**ABBREVIATIONS:** ACPC = anterior/posterior commissure; ADC = apparent diffusion coefficient; DTI = diffusion tensor imaging; EPI = echo-planar imaging; FA = fractional anisotropy; MD = mean diffusivity

During human aging, the brain exhibits both macro- and microscopic alterations. With conventional MR imaging methods, there is a consensus on the aging-related reduction of the global or regional gray matter volume, with accelerated loss in some focal areas such as the prefrontal cortex.<sup>1–3</sup> The cerebral white matter exhibits various age-related degenerative changes, such as loss of axons and decreased myelin attenuation or demyelination.<sup>2,4</sup> Although the age-related changes in both gray matter and white matter are at a global level, changes in white matter occur more prominently during certain older ages.<sup>5,6</sup>

DTI, with its advantage of noninvasively providing a quantitative assessment of the microscopic diffusion properties of water in living tissue, has been used to investigate and visualize tissue microstructure and orientation effectively, which was not accessible with conventional MR imaging. In white matter fibers, water diffuses faster along the direction parallel to the axons than it does in directions perpendicular to the axons. With such water diffusion anisotropy, DTI is a powerful and

unique tool for evaluating maturing and aging of the white matter.<sup>7,8</sup> Previous DTI studies on the relationship between white matter degeneration and aging have demonstrated increased MD and reduced water diffusion anisotropy in some regions of white matter, especially in the genu of the corpus callosum and the deep prefrontal white matter.<sup>6,9–11</sup> However, to our knowledge, investigations with DTI on age-related degenerative alterations in the cerebral gray matter are lacking.

In fact, anisotropic water diffusion depends on oriented barriers. The phenomenon of anisotropy is not unique to neural fiber tracts but is also observed in other tissues, such as skeletal muscle, myocardium, and kidney. The degree of hindrance to water diffusion is determined by the size, shape, and composition of any physical obstructions and the spacing between these obstructions.<sup>12</sup> In the human brain, the axonal membranes, myelin sheaths, cell membranes, and even the directional arrangement of cells can all constitute such obstructions. The basal ganglia are composed of both gray matter and fibers. The volume of the striatum (putamen and caudate) is larger than that of the globus pallidus. The decreased trend of cerebral tissue volume from the cortex to the basal ganglia suggests a volumetric convergence. Pallidal neurons are much less numerous than striatal neurons; this difference suggests a numeric convergence.<sup>13</sup> The striatum consists mainly of spiny neurons covered densely with dendritic spines. The pallidal neurons have a completely different morphology. They are larger, and the dendrites are sparsely branched.<sup>13</sup> The variations of volume, neuronal numbers, and neuronal types between the striatum and globus pallidus may result in different presentations of water diffusion and make DTI a suitable tool for studying age effects.

The parameters of ADC and FA are most commonly used as the markers of degeneration in white matter. The loss of

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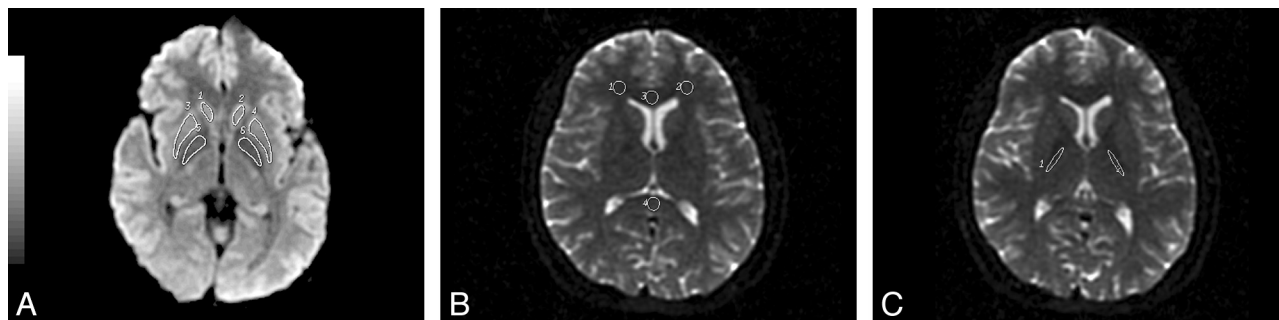
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**Fig 1.** Depictions of regions of interest used in this study. *A*, T2-weighted trace image. *B* and *C*, T2-weighted images. *A*, Regions of interest are drawn in the head of the caudate nucleus (regions of interest 1 and 2), the putamen (regions of interest 3 and 4), and the globus pallidus (regions of interest 5 and 6). *B*, Regions of interest are drawn in the prefrontal white matter (regions of interest 1 and 2), the genu (region of interest 3), and the splenium (region of interest 4) of the corpus callosum. *C*, Regions of interest are drawn in regions of the poster limb of the internal capsule (regions of interest 1 and 2).

axons and the decrease of myelin attenuation are some of the main explanations for the observation of decreased FA value with advanced aging.<sup>6,9-11</sup> The FA value, which is derived from the SD of the 3 eigenvalues ( $\lambda_1$ ,  $\lambda_2$ , and  $\lambda_3$ ), represents an overall anisotropy of water diffusivity and reflects the degree of alignment or integrity of cellular structures on the whole, but the 3 eigenvalues may differentiate the degree of diffusivity in each direction. A study of the corpus callosum in mouse brain demonstrated that the extent of increased or decreased diffusivity in  $\lambda_2$  or  $\lambda_3$  offered a specific assessment of demyelination or remyelination. The results are distinct from acute axonal damage, which introduces a decreased  $\lambda_1$  in the long axis of axons.<sup>14</sup> The 3 eigenvalues both in gray and white matter all decrease during normal human brain maturation, but the main eigenvalue  $\lambda_1$  shows less reduction.<sup>15</sup> These studies suggest that the eigenvalues may provide a more direct marker of any directional changes of water diffusivity.

In this study, the age effects on water diffusivity of the basal ganglia were evaluated by eigenvalues along with MD and FA. We anticipated that eigenvalues could provide further insight into the changes of water diffusivity in the basal ganglia during human brain aging.

## Materials and Methods

### Subjects

DTIs were obtained from 71 healthy subjects (39 men, 32 women; mean age,  $41.8 \pm 14.5$  years; age range, 20–79 years). All subjects were recruited from the local community (most were staff in our department, medical students, and their relatives). Written informed consent was obtained and approved by the research ethics committee of the hospital. The exclusion criteria included any history of neurologic or psychiatric disorder, head trauma, or serious cardiovascular disease. Conventional MR images of all subjects were acquired and were examined by an experienced neuroradiologist to exclude any morphologic abnormalities in the brain. A high signal intensity on the T2-weighted imaging was frequently present in asymptomatic elderly participants. If the focal hyperintensity was  $>3$  mm in diameter in 1 section, the subject was also excluded. The subjects whose images showed a few punctuate areas of hyperintensity were included because we considered these to be physiologic findings.

### MR Imaging Protocols

All the MR imaging data were acquired by using a 1.5T scanner (Signa Excite II; GE Healthcare, Milwaukee, Wisconsin) equipped with an 8-channel neurovascular phased array coil. The conventional MR im-

aging examinations included the acquisition of T2-weighted sagittal images and both T1- and T2-weighted axial images. T2-weighted images were acquired by using a fast recovery fast spin-echo sequence (TR/TE, 4000/85 ms; section thickness/section gap, 7.0/1.0 mm; FOV,  $24 \times 24$  cm<sup>2</sup>; NEX, 2; matrix,  $320 \times 224$ ), and T1-weighted images were acquired by using a fast inversion-recovery sequence (TR/TE, 2400/20 ms; TI, 650 ms; section thickness/section gap, 7.0/1.0 mm; FOV,  $24 \times 24$  cm<sup>2</sup>; NEX, 2; matrix,  $320 \times 224$ ). A single-shot spin-echo EPI sequence was used for DTI acquisition (TR/TE, 10,000/86 ms; section thickness/section gap, 3.0/0.0 mm; FOV,  $24 \times 24$  cm<sup>2</sup>; NEX, 2; matrix,  $128 \times 128$ ). Diffusion properties were measured along 15 diffusion directions with a b-value of 1000 s/mm<sup>2</sup>. To reduce eddy current distortions, DTI acquisition used a twice-refocused balanced echo. The total DTI acquisition time was 5 minutes 40 seconds at 32–36 transverse sections. All the transverse-section planes were positioned parallel to the ACPC line.

All the diffusion-weighted images were transferred to the workstation supplied by the manufacturer (Advantage Workstation 4.2; GE Healthcare). Before the diffusion tensor processing, the correction function was used to remove EPI distortions by scaling, de-skewing, and translating methods. Then, maps of FA and eigenvalues  $\lambda_1$ ,  $\lambda_2$ , and  $\lambda_3$  were generated on a voxel-by-voxel basis. The values of MD were calculated as follows:

$$MD = (\lambda_1 + \lambda_2 + \lambda_3)/3.$$

### Regions of Interest

We chose to examine the diffusion properties by using the region-of-interest methodology. The values of FA and the eigenvalues  $\lambda_1$ ,  $\lambda_2$ , and  $\lambda_3$  were determined in regions of the head of the caudate nucleus, putamen, globus pallidus, and some white matter regions, including the posterior limb of the internal capsule, the genu and splenium of the corpus callosum, and the prefrontal white matter.

The regions of interest in the head of the caudate nucleus, putamen, and globus pallidus were located on the first section above the ACPC line (Fig 1A). The other regions of interest were positioned on the section in which each anatomic structure was most conspicuous (Fig 1B, -C). Because the outlines of the head of the caudate nucleus, putamen, and globus pallidus were well differentiated in T2-weighted trace images, these regions of interest were defined manually in the basal ganglia as to their bilateral anatomic structures on T2-weighted trace images. The other regions of interest were then placed on the T2-weighted image ( $b=0$ ). All the regions of interest were circular or elliptic with the same size of 50 mm<sup>2</sup>. All regions of interest were determined by the same operator, who was blinded to any information about the participants.

Mean values and Pearson correlations between age and DTI metrics in the basal ganglia (MD, $\lambda_1$ , $\lambda_{23} \times 10^{-4} \text{ mm}^2/\text{s}$ )				
Region	MD (Mean $\pm$ SD)	FA (Mean $\pm$ SD)	$\lambda_1$ (Mean $\pm$ SD)	$\lambda_{23}$ (Mean $\pm$ SD)
Caudate	6.88 $\pm$ 0.42, $r = -0.319$ , $P = .007$	0.24 $\pm$ 0.03, $r = 0.178$ , $P = .138$	8.57 $\pm$ 0.46, $r = -0.299$ , $P = .011$	6.03 $\pm$ 0.43, $r = -0.312$ , $P = .008$
Putamen	6.45 $\pm$ 0.36, $r = -0.410$ , $P < .001$	0.23 $\pm$ 0.04, $r = 0.535$ , $P < .001$	7.93 $\pm$ 0.27, $r = -0.200$ , $P = .094$	5.71 $\pm$ 0.43, $r = -0.451$ , $P < .001$
Globus pallidus	6.00 $\pm$ 0.49, $r = -0.142$ , $P = .238$	0.36 $\pm$ 0.04, $r = 0.276$ , $P = .020$	8.16 $\pm$ 0.42, $r = -0.117$ , $P = .329$	4.89 $\pm$ 0.45, $r = -0.215$ , $P = .072$
Prefrontal	7.18 $\pm$ 0.51, $r = 0.314$ , $P = .008$	0.35 $\pm$ 0.05, $r = -0.391$ , $P = .001$	9.82 $\pm$ 0.65, $r = 0.053$ , $P = .663$	5.86 $\pm$ 0.56, $r = 0.403$ , $P < .001$
Genu	6.98 $\pm$ 0.65, $r = 0.319$ , $P = .007$	0.65 $\pm$ 0.06, $r = -0.307$ , $P = .009$	12.97 $\pm$ 1.19, $r = 0.139$ , $P = .249$	3.98 $\pm$ 0.65, $r = 0.353$ , $P = .003$
Splenium	6.77 $\pm$ 0.67, $r = -0.153$ , $P = .202$	0.75 $\pm$ 0.05, $r = -0.008$ , $P = .946$	14.16 $\pm$ 1.51, $r = -0.156$ , $P = .194$	3.06 $\pm$ 0.56, $r = -0.066$ , $P = .585$
Internal capsule	6.43 $\pm$ 0.51, $r = -0.185$ , $P = .123$	0.69 $\pm$ 0.04, $r = 0.013$ , $P = .914$	12.56 $\pm$ 0.85, $r = -0.231$ , $P = .053$	3.36 $\pm$ 0.46, $r = -0.090$ , $P = .458$

### Statistical Analysis

The values of FA and eigenvalues  $\lambda_1$ ,  $\lambda_2$ , and  $\lambda_3$  were measured at the left and right regions of the basal ganglia and the prefrontal white matter and also in regions of the genu and splenium of the corpus callosum. The averages of the values measured at the left and right regions are presented. The eigenvalue  $\lambda_1$  represents the water diffusivity parallel to the axonal fibers, and the eigenvalues  $\lambda_2$  and  $\lambda_3$  refer to the diffusivity perpendicular to the fibers. In this study, the eigenvalues  $\lambda_2$  and  $\lambda_3$  were also averaged and presented as a single parameter:  $\lambda_{23} = (\lambda_2 + \lambda_3) / 2$ . In this way,  $\lambda_1$  referred to the axial diffusivity and  $\lambda_{23}$  referred to the radial diffusivity. The age dependence of MD, FA,  $\lambda_1$ , and  $\lambda_{23}$  was tested for statistical significance by using the Pearson correlation analysis among all subjects. Values of  $P < .01$  were considered significant for all statistical tests, and those of  $P < .05$  were considered suggestive.

### Results

With the Pearson correlation analysis, a reduction of MD with aging was found in the head of caudate nucleus ( $r = -0.319$ ,  $P = .007$ ) and putamen ( $r = -0.410$ ,  $P < .001$ ). The results of age-related changes of FA in the putamen showed an increase with aging ( $r = 0.535$ ,  $P < .001$ ), but in the head of caudate nucleus, no significant correlation with FA was found. In the globus pallidus, FA presented a trend of increasing with age ( $r = 0.276$ ,  $P = .020$ ). Pearson correlation analysis of eigenvalue  $\lambda_{23}$  showed an age-related decrease both in the putamen ( $r = -0.451$ ,  $P < .001$ ) and the head of the caudate nucleus ( $r = -0.312$ ,  $P = .008$ ), whereas results for  $\lambda_{23}$  in the globus pallidus showed no significant change. The results for eigenvalue  $\lambda_1$  in the head of caudate nucleus ( $r = -0.299$ ,  $P = .011$ ) were close to statistical significance. No significant alterations of  $\lambda_1$  with age were found in the putamen or globus pallidus.

In contrast, the results in regions of white matter were greatly different from those in the basal ganglia. Age-related increases of MD were found in the prefrontal white matter ( $r = 0.314$ ,  $P = .008$ ) and the genu of the corpus callosum ( $r = 0.319$ ,  $P = .007$ ). The results for FA in the prefrontal white matter ( $r = -0.391$ ,  $P = .001$ ) and the genu of the corpus callosum ( $r = -0.307$ ,  $P = .009$ ) showed reduction with aging. The Pearson correlation analysis of eigenvalue  $\lambda_{23}$  showed an increase with aging in the prefrontal white matter ( $r = 0.403$ ,  $P < .001$ ) and the genu of the corpus callosum ( $r = 0.353$ ,  $P = .003$ ). In all regions of white matter, there were no significant or suggestive changes in  $\lambda_1$ .

The values of MD, FA,  $\lambda_1$ , and  $\lambda_{23}$  in the selected regions

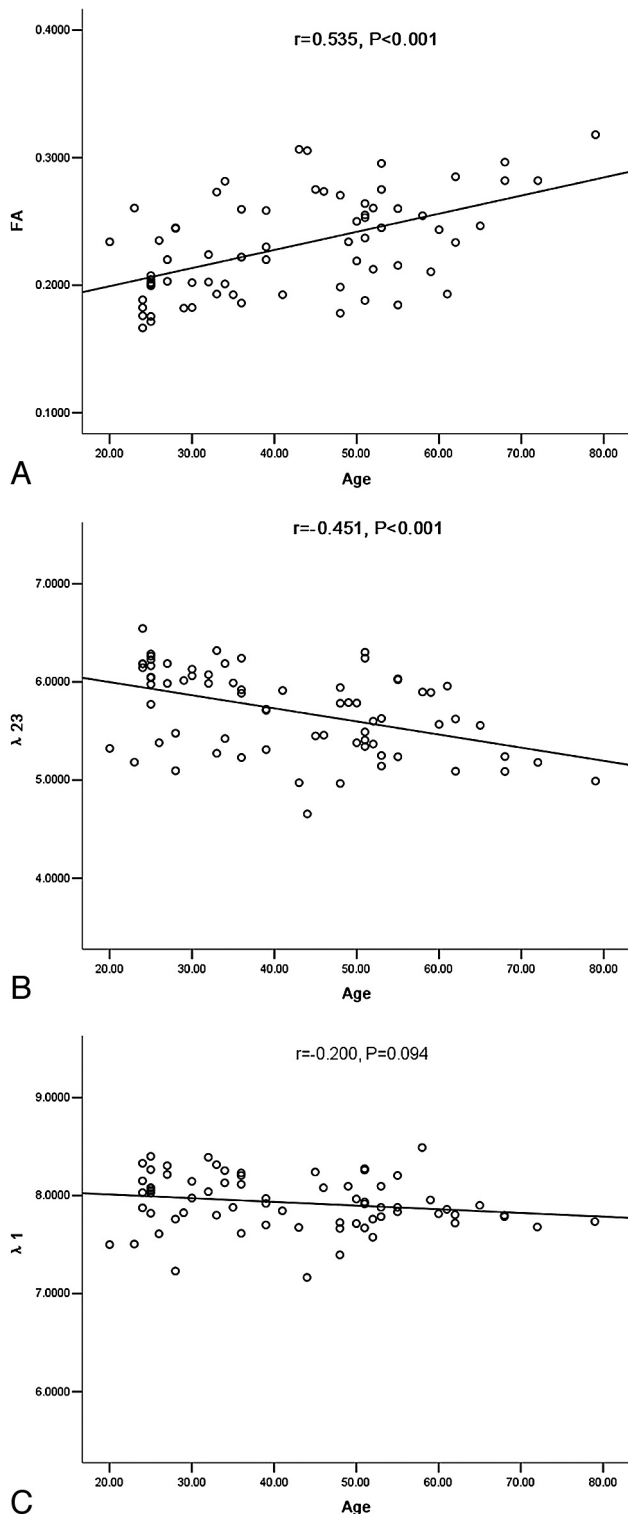
and the results of their Pearson correlation analysis are shown in the Table. The scatterplots of FA and eigenvalues  $\lambda_1$  and  $\lambda_{23}$  for correlation with aging in the putamen are presented in Fig 2. The color-coded FA,  $\lambda_1$ ,  $\lambda_2$ , and  $\lambda_3$  maps of the basal ganglia are presented in Fig 3.

### Discussion

Among the structures in the basal ganglia, the caudate nucleus and putamen have the same phylogenesis, but the globus pallidus develops later. Neurons in the caudate nucleus and putamen have a spheric dendritic arborization, which is covered densely with dendritic spines. These allow water to diffuse freely in the caudate nucleus and putamen. In contrast, neuronal dendrites in the globus pallidus are long, smooth, and sparsely branched.<sup>13,16,17</sup> The fibers in the globus pallidus are well protected by myelin, and some fibers are arranged in bundles. The motion of water diffusion is, therefore, more restricted and more directional in the globus pallidus and so may present a higher FA and lower MD. In this study, the FA was higher and the MD was lower in the globus pallidus than in the caudate nucleus and putamen, which well reflected the microstructural properties of neurons in the basal ganglia.

The putamen is a structure in which FA increases with advancing age.<sup>18-21</sup> In this study, we found the same result. Although the recently published study by Pfefferbaum et al<sup>18</sup> stated that the high FA in the putamen is correlated with iron deposition, there are few interpretations for the age-related increase of FA. In contrast to the microstructure of the white matter, the basal ganglia consist predominantly of neurons and glia. The interpretation of age-related changes of DTI metrics in the basal ganglia appears to be different from and more complicated than that in white matter.

Theoretically, the anisotropy index of FA is influenced by the axial ( $\lambda_1$ ) and radial ( $\lambda_{23}$ ) diffusivity. The increased FA may result from increased axial diffusivity, decreased radial diffusivity, or both. In our study, the age-related increase of FA in the putamen resulted from the decreased eigenvalue  $\lambda_{23}$  and the relatively preserved  $\lambda_1$ . Volume reduction with age due to the loss of neurons in the striatum has been reported.<sup>1,22</sup> The concurrent gliosis and tissue compaction during aging further obstruct water diffusion. The cell membranes of the remaining atrophic neurons become less fluid and stiffer with aging. These kinds of age-related changes add to the hindrance to water diffusion. Diffusivity in all directions could be affected,



**Fig 2.** The scatterplots of FA (A) and eigenvalues  $\lambda_{23}$  (B) and  $\lambda_1$  (C) with aging in the putamen ( $\lambda_1, \lambda_{23}: \times 10^{-4} \text{ mm}^2/\text{s}$ ).

but notably the radial diffusivity presented decreasing change. Besides, the body of the striatum, especially the putamen, is a region with an abundant blood supply compared with other regions in the brain. The pseudodiffusion effect caused by blood motion may be enlarged, probably due to the abundant blood supply. The vessel wall experiences some hyaline degeneration during aging. It becomes thicker and the water diffu-

sion through the wall becomes more difficult. This kind of age-related change in vessel walls may also contribute to the changes of  $\lambda_{23}$ . In contrast to the high value of  $\lambda_1$  in the white matter, the  $\lambda_1$  in the basal ganglia was low. The FA value was then easily influenced by changes in  $\lambda_{23}$ .

The caudate nucleus and putamen have the same types of neurons. It is likely that these neurons experience the same kinds of changes in water diffusion during brain aging. The eigenvalue  $\lambda_{23}$  in the head of the caudate nucleus also exhibited a negative correlation with aging, but FA showed no significant change. The main difference for FA was the difference of  $\lambda_1$  between the 2 structures. In the putamen,  $\lambda_1$  was preserved, while  $\lambda_1$  of the caudate nucleus manifested an almost significant age-related reduction. The  $\lambda_1$  corresponded to diffusivity in the long axis of the diffusion ellipsoid. Therefore, the loss of axons may be prominently reflected by the decrease of  $\lambda_1$ . The caudate nucleus includes the associative functional territory projecting to the prefrontal cortex. Volume analysis with conventional MR imaging has shown that the prefrontal cortex is vulnerable to advancing age.<sup>3,23</sup> DTI studies on human brain aging have also presented the phenomenon of lower FA selectively in the prefrontal white matter.<sup>6,9,10</sup> The meaning of the result is open to the speculation that loss of fibers occurs in the caudate nucleus. Different from the functional territory in the caudate nucleus, the putamen mainly receives projections from the primary motor and premotor cortices. The tissue associated with primary motor function is thought to be relatively spared with aging. Accordingly,  $\lambda_1$  in the putamen appeared relatively stable. The speculation that the results for  $\lambda_1$  in the 2 structures corresponded well to the characteristic of functional territory is acceptable. Except for the eigenvalue  $\lambda_1$ , the diffusion patterns in the caudate nucleus and putamen had much in common.

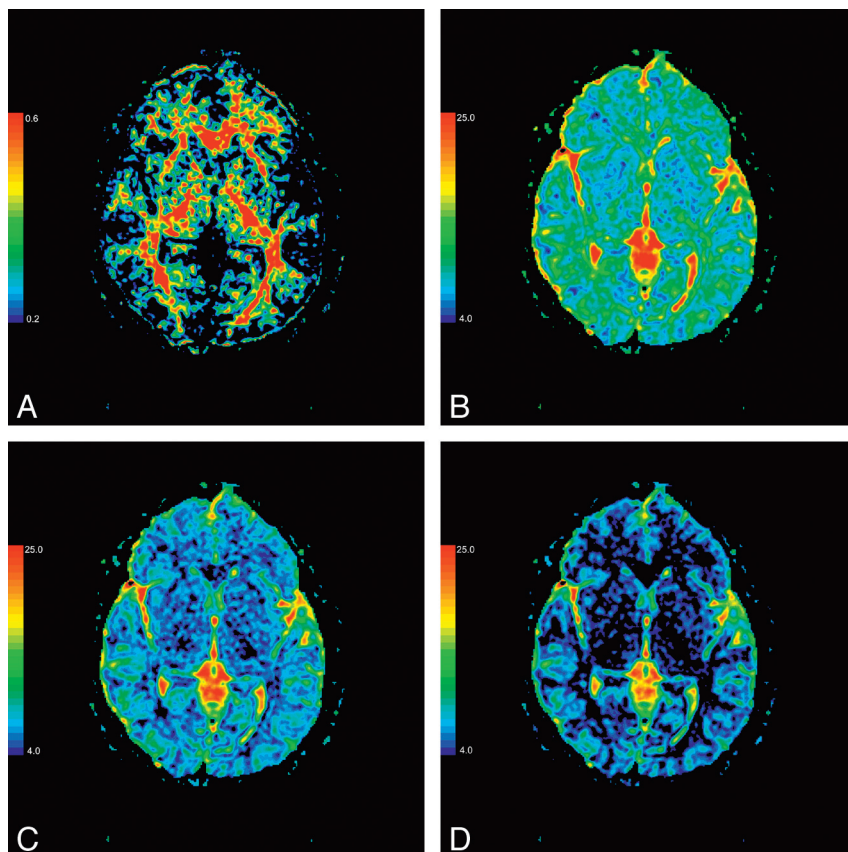
Although FA in the globus pallidus exhibited an increasing trend, no significant difference in eigenvalues was found. The results are also in agreement with the smaller shrinkage of the volume of the globus pallidus during human brain aging.<sup>1,22</sup>

We focused our study on the basal ganglia, but we also included the corpus callosum, the internal capsule, and the prefrontal white matter. The main reason was that results in white matter regions provide a useful contrast to the basal ganglia. In this study, the relationship between  $\lambda_{23}$  and aging in the prefrontal white matter and the genu of the corpus callosum was significantly positive, while there was no statistically significant change in  $\lambda_1$ . The results indicated that the age-related declines of FA in the prefrontal white matter and genu were caused mainly by the increased radial diffusivity and that demyelination and decreased myelin attenuation are the main changes in white matter during human brain aging. The results in the internal capsule, where none of the diffusion metrics showed age-related alterations, also supported the theory of spared aging effects in primary motor function.

Because of the region-of-interest method in this study, all values were acquired from only portions of each structure, so the results did not reflect the entire structure. To analyze the age-related changes at a relatively global level, we averaged the DTI values from the 2 hemispheres. In this way, the possible hemispheric asymmetry could be discarded.

The metrics of DTI are affected by the relatively lower signal intensity-to-noise ratio and distortion of the diffusion im-





**Fig 3.** The color-coded FA (A),  $\lambda_1$  (B),  $\lambda_2$  (C), and  $\lambda_3$  (D) maps at the level of the basal ganglia ( $\lambda_1, \lambda_2, \lambda_3: \times 10^{-4} \text{ mm}^2/\text{s}$ ).

age. In this study, we used 15 diffusion directions. It is important to acquire robust determination of DTI metrics.<sup>24</sup> On the other hand, the existing noise effect in every healthy volunteer was equal on the whole, so we suggest that the trend in metrics with advancing age remains strong.

## Conclusions

The findings in this study suggest that there are different age-related water diffusion patterns in the basal ganglia than in the white matter, especially the putamen. The age-related changes of eigenvalues in the basal ganglia are related to the degenerative process in this region, which includes gliosis, tissue compaction, blood change, and even the varied vulnerability of different functional territories. The analysis of eigenvalues provides further insight into the description of microstructural changes.

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