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*AJNR Am J Neuroradiol* 2013, 34 (5) E51-E55

doi: <https://doi.org/10.3174/ajnr.A2897>

<http://www.ajnr.org/content/34/5/E51>

This information is current as  
of August 18, 2025.

# Quantitative Assessment of Chronic Thalamic Stroke

G. Pergola, B. Suchan, B. Koch, M. Schwarz, I. Daum, and O. Güntürkün



## ABSTRACT

**SUMMARY:** The procedure presented quantitatively assesses thalamic lesions in the chronic phase of an ischemic episode. The structural MR images of 19 patients with ischemia in the thalamus were assessed by radiologic inspection. An independent rater allocated the damage to the thalamic nuclei. The assessments showed 89% accordance with the radiologic inspection ( $P < .001$ ). This procedure ranks the extent of the damage to thalamic nuclei and accounts for postacute rearrangement of the neural tissue.

**ABBREVIATIONS** ILN = intralaminar nuclei of the thalamus; MD = mediodorsal nucleus of the thalamus; MID = midline nuclei of the thalamus; PU = pulvinar; VA = ventral anterior nucleus of the thalamus; VP = ventroposterior nucleus of the thalamus; VL<sub>a</sub> = ventrolateral nucleus of the thalamus, anterior portion; VM = ventromedial nucleus of the thalamus

The improvement in resolution and sensitivity of MR imaging had a major impact on advancing the knowledge of structure-function relationships in the human brain.<sup>1</sup> However, normalizing brain images of patients onto a standard space defined for functional imaging lacks the resolution required to assess the involvement of small lesioned structures. Additionally, normalization of paraventricular lesions poses significant problems.<sup>1,2</sup> In the postacute phase of ischemic disease, the surviving tissue may shrink<sup>3,4</sup> and a ventricular enlargement may take place secondary to the ischemic episode.<sup>5,6</sup> These local changes have not been addressed in previous lesion-symptom mapping studies.<sup>7</sup>

The present method serves to quantitatively assess the damage to thalamic substructures, taking these issues into account.

## TECHNIQUE

Nineteen outpatients (11 women and 8 men) of the Klinikum Dortmund (Germany) participated in the study. All patients had ischemia in the paramedian ( $n = 10$ , 2 bilateral) or tuberothalamic ( $n = 9$ , 2 bilateral) artery, leading to a partially different

lesion localization and symptomatic profiles.<sup>5,6</sup> We focused on chronic lesions (the lesion-test interval varied between 1 and 12 years; Table 1).

The experimental procedure was approved by the ethics committee of the local Faculty of Medicine. All subjects gave their informed written consent before participation.

For each patient, the following images were acquired within a follow-up examination with a 3T scanner (Signa Hdx 3.0T, GE Healthcare, Milwaukee, Wisconsin): a T1 (fast-spoiled gradient-recalled BRAVO axial sequence:  $0.9 \times 0.9 \times 1.2$  mm voxel size; flip angle,  $13^\circ$ ; FOV = 24.0; section thickness = 1.2 mm; section spacing = 0 mm; section number = 110) contrasted MR imaging scan; and a T2-weighted image (FLAIR axial sequence:  $0.5 \times 0.5 \times 5.5$  mm voxel size; TE = 120 ms; TR = 8000 ms; FOV = 24.0; section thickness = 5.0 mm; section spacing = 0.5 mm; section number = 25).

## Lesion Assessment by Radiologic Inspection

Two experienced neurologists evaluated the T1 and T2 scans and divided the patients to 2 different groups (paramedian and tuberothalamic). Only patients for whom the 2 raters expressed the same diagnosis were included in the study. The location of the necrotic tissue was used as a criterion to diagnose which artery underwent ischemia and hence to assign patients to membership.

The affected thalamic substructures were determined for each individual patient by using a stereotactic atlas specific for the thalamus and basal ganglia.<sup>8</sup> This procedure is a consolidated standard in the field.<sup>9,10</sup> We report as “damaged” the nuclei that both raters judged to be involved in the lesion.

Received June 28, 2011; accepted after revision August 20.

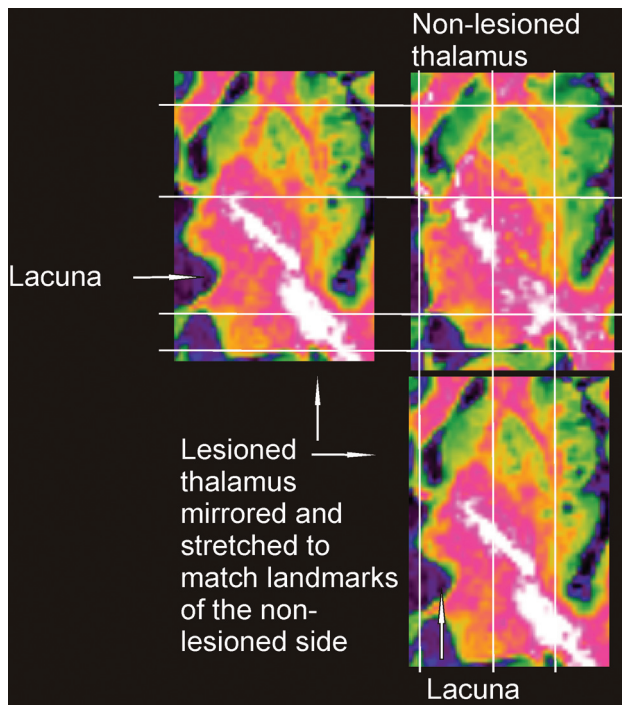
From the Department of Neuropsychology (G.P., B.S., I.D.), International Graduate School of Neuroscience (G.P., B.S., I.D., O.G.), and Department of Biopsychology (O.G.), Ruhr-University Bochum, Bochum, Germany; and Department of Neurology (B.K., M.S.), Municipal Hospital Dortmund, Dortmund, Germany.

This work was supported by the Novobrain Programme (Marie Curie FP6, Early-Stage Training).

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<http://dx.doi.org/10.3174/ajnr.A2897>



**FIG 1.** The lesioned and the nonlesioned thalamus after matching.

### Quantitative Lesion Assessment

The quantitative assessment consisted of individually matching the high-resolution T1-weighted brain images on the same human atlas<sup>8</sup> used for radiologic inspection and computing the volume loss in each nucleus. This assessment was performed by a third rater.

We used 2 complementary statistical approaches: 1) We tested

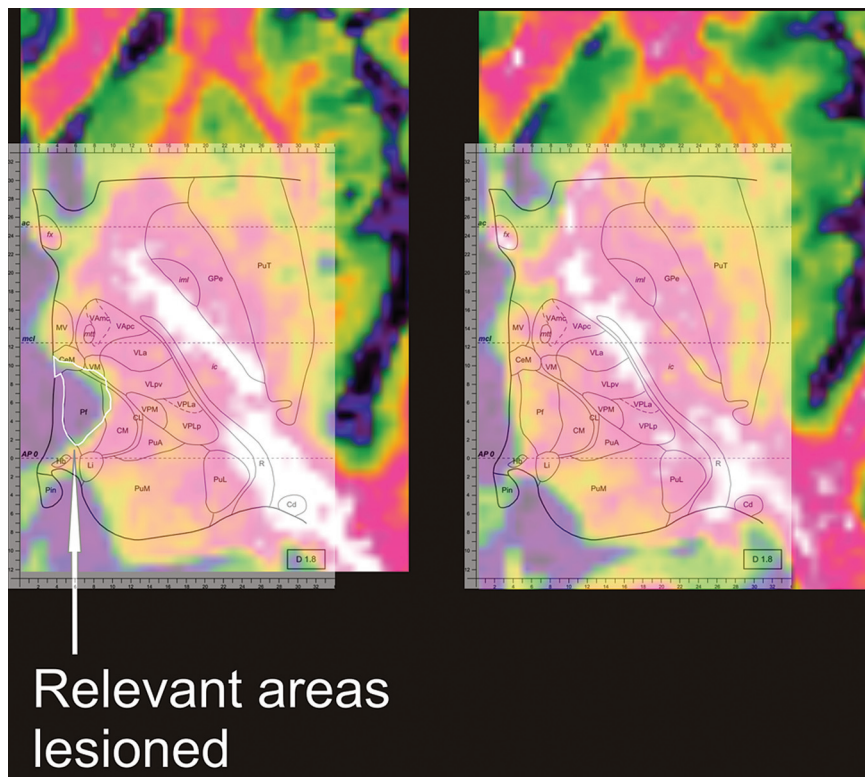
**Table 1: Demographic data of the patients<sup>a</sup>**

Subject	Sex	Age (yr)	Age at Onset (yr)	Time since Lesion (yr)
P1	M	79	78	1.3
P2	F	71	67	4.0
P3	F	70	69	1.0
P4	F	78	73	4.7
P5	F	56	50	6.0
P6	F	57	55	1.7
P7	M	67	63	4.0
P8	M	68	63	4.6
P9	F	71	69	2.0
P10	M	45	33	12
P11	F	64	59	5.1
P12	F	65	60	4.9
P13	F	30	18	12
P14	M	64	61	2.3
P15	M	59	47	12
P16	F	67	62	5.0
P17	F	61	59	2.2
P18	M	54	42	12
P19	M	48	38	10
Average		61.7	56.1	5.6
SD		12	15	4.0

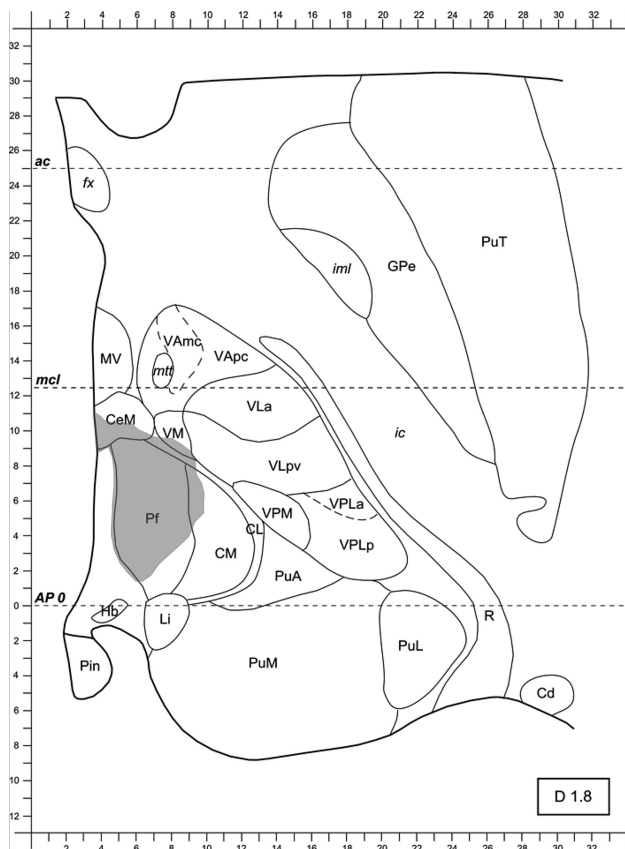
<sup>a</sup> Patients 1–9 form the tuberothalamic group. Patients 10–19 constitute the paramedian group.

whether the volume losses obtained could discriminate patients belonging to different groups on the basis of a nonparametric a posteriori statistic (Mann-Whitney *U* test); 2) we used a clustering algorithm (Statistical Package for the Social Sciences statistics engine, hierarchical clustering; SPSS, Chicago, Illinois) to test whether group membership could be attributed a priori to patients. Results from the automated classifications obtained by clustering were statistically examined by a Pearson  $\chi^2$  test.

We selected 2 relevant and 2 control structures. The first rele-



**FIG 2.** The lesioned thalamus has been matched to the atlas. The lesion has been manually traced, bordering the ischemic lacuna. PuT indicates putamen; fx, fornix; GPe, globus pallidus pars externa; ic, internal capsule; iml, medullary lamina; MV, medioventral nucleus of the thalamus; mtt, mammillothalamic tract; VAmc, ventral anterior nucleus of the thalamus, magnocellular portion; VAp, ventral anterior nucleus of the thalamus, magnocellular portion; CeM, center median nucleus of the thalamus; VLpv, ventrolateral nucleus of the thalamus, posteroventral portion; Pf, parafascicular nucleus of the thalamus; CM, centromedian nucleus of the thalamus; CL, centrolateral nucleus of the thalamus; VPM, ventral posterior medial nucleus of the thalamus; VPLa, ventral posterior lateral nucleus of the thalamus, anterior portion; VPLp, ventral posterior lateral nucleus of the thalamus, posterior portion; PuA, anterior pulvinar; PuM, medial pulvinar; PuL, lateral pulvinar; Hb, habenular nucleus of the thalamus; Pin, posterior intralaminar nucleus of the non-lemniscal pathway; Li, limitans nucleus of the thalamus; R, reticular nucleus of the thalamus; Cd, caudate nucleus. (Modified from Morel.<sup>8</sup>)



**FIG 3.** The image is ready for surface measurement. PuT indicates putamen; fx, fornix; GPe, globus pallidus pars externa; ic, internal capsule; iml, medullary lamina; MV, medioventral nucleus of the thalamus; mtl, mammillothalamic tract; VAmc, ventral anterior nucleus of the thalamus, magnocellular portion; VApC, ventral anterior nucleus of the thalamus, parvocellular portion; CeM, center median nucleus of the thalamus; VLPv, ventrolateral nucleus of the thalamus, posterovenral portion; Pf, parafascicular nucleus of the thalamus; CM, centromedian nucleus of the thalamus; CL, centrolateral nucleus of the thalamus; VPM, ventral posterior medial nucleus of the thalamus; VPLa, ventral posterior lateral nucleus of the thalamus, anterior portion; VPLp, ventral posterior lateral nucleus of the thalamus, posterior portion; PuA, anterior pulvinar; PuM, medial pulvinar; PuL, lateral pulvinar; Hb, habenular nucleus of the thalamus; Pin, posterior intralaminar nucleus of the non-lemniscal pathway; Li, limitans nucleus of the thalamus; R, reticular nucleus of the thalamus; Cd, caudate nucleus. (Modified from Morel.<sup>8</sup>)

vant structure receives blood supply mainly from the tuberothalamic artery<sup>6</sup> (VA-VLa; estimated volume on the atlas, 435 mm<sup>3</sup>); the second is irrigated mainly by the paramedian artery<sup>6</sup> (ILN; center median, parafascicular, centrolateral; estimated volume, 498 mm<sup>3</sup>). The 2 control structures are supplied either by both arteries<sup>6</sup> (MD; excluding the paramedian portion belonging to the centrolateral nucleus<sup>11</sup>; estimated volume, 459 mm<sup>3</sup>) or by neither of the 2<sup>6</sup> (VP, supplied by the inferolateral artery; estimated volume, 285 mm<sup>3</sup>).

In case of patients with bilateral lesions, only the larger lesion was taken into account.

### Step-by-Step Procedure

- 1) Brain images were anonymized.
- 2) Brain images were reoriented to match the reference system of the atlas used<sup>8</sup> through rigid body transformation in SPM8 ([http://](http://www.fil.ion.ucl.ac.uk/spm/software/spm8/)

[www.fil.ion.ucl.ac.uk/spm/software/spm8/](http://www.fil.ion.ucl.ac.uk/spm/software/spm8/)). For matching criteria, the anterior and posterior commissures required a coplanar center, and this plane was defined as the dorsoventral 0. The brain image had to be symmetric with respect to the dorsoventral axis in the coronal view.

3) The gray-scale images were converted, by using MRIcron (<http://www.cabiatl.com/mricro/mricron/index.html>), to false color images (rainramp palette) to achieve better discrimination of white matter, gray matter, and CSF.

4) The images were exported in separate axial sections 1 mm away from each other.

5) The image of the left thalamus was mirrored to match the atlas, which depicts a right thalamus. The nonlesioned side was used as a template to match the lesioned thalamus by using several landmarks (Fig 1): the anterior commissure, the posterior commissure, the internal capsule, the fornix, the borders of the basal ganglia, the ventricles, and the shape of the medial and caudal aspects of the thalamus. The transformations included linear enlargement or shrinking along the anteroposterior and lateromedial axis.

6) The nonlesioned thalamus was linearly transformed to match the atlas by using the landmarks mentioned above. Contextual to the transformation of the nonlesioned thalamus, the lesioned one was transformed, avoiding direct matching of the lesioned thalamus onto the atlas.

7) The atlas image was superimposed in transparent mode on the lesioned thalamus without further transformations.

8) The lesion was manually traced by digitally sampling points on its borders and connecting them through a line (Fig 2).

9) For each lesioned structure in each section, the surface of the lesioned area (Fig 3) was computed by using the software CellP (Olympus, Japan, [http://www.microscopy.olympus.eu/microscopes/Software\\_cell\\_P.htm](http://www.microscopy.olympus.eu/microscopes/Software_cell_P.htm)). The same software served to estimate the total volume of the single thalamic structures depicted on the atlas. We took note of the voxel-to-millimeter ratio of each picture, which varied slightly across the sample. The native resolution of the JPEG files was always 200 dpi.

10) The volume of the necrotic tissue in each structure included in the atlas was computed by averaging the damaged area over the number of sections in the atlas (26) and then multiplying it by the whole length of the thalamus along the dorsoventral axis according to the atlas (22.1 mm).

11) The row lesion size in cubic millimeters was divided by the estimated volume of the same structures (based on the atlas) to obtain the percentage of volume lost due to ischemia.

12) Images were reattributed to individual patients.

## RESULTS

Table 2 summarizes the structures lesioned in the present patient sample, according to radiologic examination and quantitative assessment.

Damage to the relevant structures discriminated groups (VA-VLa,  $U = 19.0$ ,  $P = .035$  [exact, 2-tailed]; ILN,  $U = 16.0$ ,  $P = .017$  [exact, 2-tailed]), but damage to the control structures did not (MD,  $U = 31.0$ ,  $P = .28$  [exact, 2-tailed]; VP,  $U = 35.0$ ,  $P = .45$  [exact, 2-tailed]).

The hierarchical clustering algorithm correctly classified 17 of 19 patients (89%,  $\chi^2 = 12.3$ ,  $P < .001$ ) when the relevant variables were used, and 13 of 19 (68%,  $\chi^2 = 2.56$ ,  $P = .11$ ) when using the nonrelevant variables.

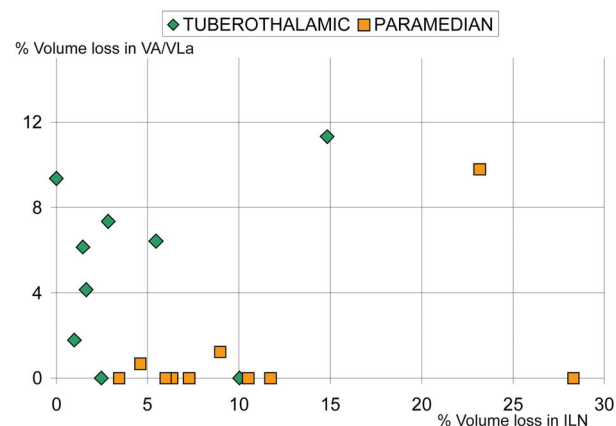


**Table 2: Results of the lesion assessment<sup>a</sup>**

Subject	Radiologic Inspection	Absolute Damage	Relative Damage
P1	Left VL	Left VL	Left VM
P2	Left VA, VL; right VL	Left VA, VL; right MD	Left MID
P3	Left VL	Left VL, ILN	
P4	Left VA, VL, MD	Left VA, VL, MD	Left MD, VL, MID
P5	Right VA	Right VA, MID	Right VA, MID
P6	Right VL, VA, MD	Right VL, VA, MD	Right VL, VA, MD, MID, ILN
P7	Left MD, bilateral VP	Left MD, Pu; right ILN	Left MD, VP, ILN, MID; right LP, ILN
P8	Left VL	Left VL	Left VL, VM
P9	Left VL, anterior MD	Left MID, MD	Left MID
P10	Right VA, MD	Right VA, MD, MID	Right MD, MID
P11	Right MD	Right MD, ILN	Right MD
P12	Left MD, ILN	Right MD, ILN	Left MD, ILN, MID, VM
P13	Left MD	Left MD, MID	Left MD, MID
P14	Right MD	Right MD, ILN	Right MD, ILN, VM
P15	Left MD	Left MD, ILN	Left MD
P16	Right MD	Right MD	Right MD
P17	Right MD	Right MD, ILN	Right MD, MID, ILN
P18	Left VL, right VL, VA	Left VL, right Pu	Left VP
P19	Right VL, bilateral MD	Right VL, bilateral MD, ILN	Right VA, VL, bilateral MD, ILN, MID

**Note:**—Pu indicates pulvinar; VL, ventrolateral nucleus of the thalamus.

<sup>a</sup> First column indicates the results of the radiologic inspection; second column, structures having the greatest volume loss (absolute damage); third column, structures having >10% volume loss (relative damage).



**FIG 4.** Scatterplot of the data on which statistical analyses and automated classification were based. The data points lying on the x-axis and belonging to the 2 tuberothalamic patients have been incorrectly classified as paramedian.

Figure 4 plots the data used for automated classification.

## DISCUSSION

The current approach is unique because it accounts for postlesion shrinkage by matching the lesioned thalamus to the nonlesioned one. Quantitative assessment yields the information available to radiologic inspections and provides more information about damage to smaller structures, which potentially affect large cortical areas (Table 2). The procedures classified patients group membership with an agreement close to 90%. Control variables did not discriminate between the groups. The fact that damage attributed to VA-VLa and to ILN dissociated the groups (Fig 4), as expected on the basis of the literature,<sup>6</sup> supports the conclusion that matching onto the template was successful.

The main issue relating to reliability of the present procedure is to what extent MR images allow quantitative measurements of brain damage. One should take into account several sources of

uncertainty: 1) the image resolution; 2) the interindividual variability in dimension and localization of the thalamic nuclei; 3) the definition of the lesion borders; and 4) local changes and rearrangements secondary to ischemia.

1) The image resolution was  $0.94 \times 0.94 \times 1.2$  mm. The uncertainty on the volumes measured has the dimension of 1 voxel ( $1.1 \text{ mm}^3$ ). Each measurement involved 2 decisions: determination of the lesion border and determination of the border of the anatomic landmarks considered for matching onto the atlas. The maximal uncertainty on the volume measured can thus be approximated to  $2.2 \text{ mm}^3$ . This source of uncertainty can be considered of limited importance when measuring structures whose size is 2 orders of magnitude higher (ie,  $>100 \text{ mm}^3$ ).

2) Interindividual variability is usually countered by increasing sample size. The interindividual variability of the thalamus and basal ganglia is lower than cortical variability.<sup>8</sup> A sample size comparable with that used in many neuroimaging studies (10–20 subjects) should suit the present procedure.

3) Definition of ischemic lesion borders on MR imaging—generated gray-scale images has been found reliable on histologic examination,<sup>12,13</sup> even for small lesions.<sup>14</sup> The procedure likely results in underestimation of the damage.<sup>3</sup> Despite this limitation, our procedure provided a statistically reliable allocation of the extent of the damage to specific structures.

4) Local changes and secondary rearrangements due to postlesional alterations may constitute a major source of uncertainty.<sup>3</sup> Nonuniform thalamic shrinkage and rearrangement of the landmarks used may bias attempts to quantify the volume loss. The current procedure allows recognition of such issues, during the first match of the lesioned thalamus to the nonlesioned one.

**Disclosures:** Michael Schwarz—UNRELATED: Board Membership: Schwarz Pharma, Payment for Lectures (including service on speakers bureaus): Orion, Glaxo, Teva.

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