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ABSTRACT

BACKGROUND AND PURPOSE: MR imaging detects intraplaque hemorrhage with high accuracy by using the magnetization-prepared rapid acquisition of gradient echo sequence. Still, MR imaging is not readily available for all patients, and many undergo CTA instead. Our goal was to determine essential clinical and lumen imaging predictors of intraplaque hemorrhage, as indicators of its presence and clues to its pathogenesis.

MATERIALS AND METHODS: In this retrospective cross-sectional study, patients undergoing stroke work-up with MR imaging/MRA underwent carotid intraplaque hemorrhage imaging. We analyzed 726 carotid plaques, excluding vessels with non-carotid stroke sources (n=420), occlusions (n=7), or near-occlusions (n=3). Potential carotid imaging predictors of intraplaque hemorrhage included percentage diameter and millimeter stenosis, plaque thickness, ulceration, and intraluminal thrombus. Clinical predictors were recorded, and a multivariable logistic regression model was fitted. Backward elimination was used to determine essential intraplaque hemorrhage predictors with a thresholded 2-sided P < .10. Receiver operating characteristic analysis was also performed.

RESULTS: Predictors of carotid intraplaque hemorrhage included plaque thickness (OR = 2.20, P < .001), millimeter stenosis (OR = 0.46, P < .001), ulceration (OR = 4.25, P = .020), age (OR = 1.11, P = .001), and male sex (OR = 3.23, P = .077). The final model discriminatory value was excellent (area under the curve = 0.932). This was significantly higher than models using only plaque thickness (area under the curve = 0.881), millimeter stenosis (area under the curve = 0.830), or ulceration (area under the curve = 0.715, P < .001).

CONCLUSIONS: Optimal discrimination of carotid intraplaque hemorrhage requires information on plaque thickness, millimeter stenosis, ulceration, age, and male sex. These factors predict intraplaque hemorrhage with high discriminatory power and may provide clues to the pathogenesis of intraplaque hemorrhage. This model could be used to predict the presence of intraplaque hemorrhage when MR imaging is contraindicated.

ABBREVIATIONS: IPH = intraplaque hemorrhage; AUC = area under the curve

or >20 years, stenosis has been the primary predictor of stroke risk from carotid disease based on landmark studies including the North American Symptomatic Carotid Endarterectomy Trial, Asymptomatic Carotid Atherosclerosis Study, and European Ca-

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rotid Surgery Trial.¹⁻³ During the past 10 years, advances have been made in MR imaging detection of plaque components, most notably with carotid intraplaque hemorrhage (IPH). Moody et al⁴ first reported that high MR imaging signal within the carotid wall was shown to detect complex atheromas. Since that time, carotid IPH has been detectable with high accuracy by using heavily T1-weighted sequences such as magnetization-prepared rapid acquisition of gradient echo.⁵ MR imaging—detected carotid IPH is an essential indicator of stroke risk and is independent of stenosis.⁶⁻⁹ However, MR imaging in general and the MPRAGE sequence specifically may not be available in all clinical settings.

While most reports have correlated IPH with other markers of plaque vulnerability, none have generated a predictive model of IPH based on all available clinical and imaging markers. Many researchers have demonstrated that the likelihood of IPH increases with increasing carotid stenosis. ¹⁰ Others have shown that IPH positively correlates with not only stenosis but also plaque volume or

thickness.¹¹ Recently, CTA-detected ulceration was found to act as a surrogate marker for IPH.¹² These data suggest that IPH can be predicted, with some degree of accuracy, on the basis of lumen imaging findings. In addition, IPH has been found at a higher prevalence in males and in higher age groups.¹³ With these findings in mind, the goal of this study was to determine a predictive model of IPH by using available imaging and clinical markers.

We began with the hypothesis that lumen imaging and clinical factors could be used to predict the presence of IPH. Doing so may provide clues to the pathogenesis of IPH. Another potential benefit would be to patients in whom MR imaging is contraindicated. To detect IPH, we used an MPRAGE sequence included as part of a clinical protocol beginning in November 2009. Data were gathered prospectively and analyzed in a retrospective cross-sectional study. We included all patients imaged with carotid MRA by using the MPRAGE sequence for 4.5 years. A multivariable logistic regression model was fitted to determine essential imaging and clinical markers of IPH. This cohort of patients was used previously to determine predictors of carotid-source stroke, which were found to include intraluminal thrombus, intraplaque hemorrhage, plaque thickness, and current smoking.⁸

MATERIALS AND METHODS

Clinical Study Design

Institutional review board approval was obtained for this cross-sectional study on patients undergoing stroke evaluation with brain MR imaging/carotid MRA from November 2009 to January 2014. The carotid MPRAGE sequence was added to the clinical MRA imaging protocol in November 2009. Patients presenting for stroke work-up were scanned within 1 week of symptom on-set. From November 2009 to January 2014, 578 patients underwent brain MR imaging/carotid MRA for acute stroke evaluation with the additional MPRAGE sequence. This resulted in 1156 carotid artery—ipsilateral brain image pairs.

Exclusions were determined after reviewing electronic medical records for non-carotid plaque stroke sources: those outside 2 cm above and below the carotid bifurcation. We excluded 430 carotid-brain pairs. These included craniocervical dissections (n = 118), atrial fibrillation (n = 94), intracardiac/extracardiac shunt (n = 86), cardiac thrombus (n = 26), recent aortic or mitral valve replacement (n = 16), vasculitis (n = 14), global hypoxic/ischemic injury (n = 10), recent cardiac or neurovascular catheterization (n = 10), recent cardiovascular surgery (n = 8), dural venous sinus thrombosis (n = 8), fibromuscular dysplasia or lupus vasculopathy (n = 8), proximal common carotid stenosis >50% (n = 6), rheumatic heart disease (n = 4), brain neoplasm (n = 4), endocarditis (n = 2), idiopathic hypertrophic subaortic stenosis (n = 2), aortic graft complication (n = 2), and distal vessel atherosclerosis (n = 2). We also excluded occluded carotid arteries (n = 7), and extremely high-grade lesions (n = 3). We used 726 carotid plagues in the final analysis. Although a few scans showed mild motion artifacts primarily from swallowing, these artifacts were not sufficient to exclude any carotid arteries from interpretation.

MR Imaging/MRA Clinical Protocol

Images were obtained on 3T and 1.5T MR imaging scanners (Verio and Avanto, Siemens, Erlangen, Germany) with standard

head/neck coils. The standard clinical MR imaging/MRA protocol for these patients included brain MR imaging (axial DWI, axial T2-weighted, axial FLAIR, and sagittal T1-weighted images), brain MRA (3D axial TOF), and neck MRA (2D axial TOF, coronal precontrast T1-weighted, and coronal postcontrast arterial and venous phase images). Coronal postcontrast MRA neck images were obtained from the aortic arch through the circle of Willis. Total scan time was approximately 45 minutes, of which the MPRAGE sequence required approximately 5 minutes. In cases in which renal insufficiency precluded intravenous contrast (glomerular filtration rate, < 30 mL/min/1.73 m²), postcontrast MRA images were replaced with 3D noncontrast TOF with 1-mm section thickness combined with duplex sonography.

Carotid MPRAGE Sequence

MPRAGE parameters were first optimized at 3T and were as follows: 3D, TR/TE/TI = 6.39/2.37/370 ms, flip angle = 15° , FOV = $130 \times 130 \times 48$ mm³, matrix = $256 \times 256 \times 48$, voxel = $0.5 \times 0.5 \times 1.0$ mm³, fat saturation, time ~ 5 minutes. The TI was initially optimized for 3T and transferred to 1.5T. An initial TI of approximately 500 ms was chosen on the basis of prior computer simulations at 3T and was adjusted down to a TI of 370 ms to maximize contrast between hemorrhage and inflowing blood in human volunteers as described previously. ^{14,15} Images were obtained from 20 mm below to 20 mm above the carotid bifurcation at 1.0-mm section thickness. To produce 3D images, we used a secondary phase-encoding gradient in the section-select direction, and measurements for all section-selection phase-encodings were performed with rapid acquisition in each segment.

Carotid Lumen Imaging Markers

All carotid imaging markers were determined by consensus review of 2 reviewers (M.S.M. and J.S.M.), both blinded to findings on brain MR imaging and clinical covariates. In addition, IPH was determined independently of other carotid imaging markers of stroke risk. Lumen markers included percentage diameter stenosis, millimeter stenosis, maximum plaque thickness, ulceration, and intraluminal thrombus.

Percentage diameter stenosis was determined by using the NASCET criteria on contrast MRA. Briefly, the diameter (b) at the level of maximal stenosis and the diameter (a) of the internal carotid artery distal to the stenosis were used to calculate the percentage diameter stenosis by using the formula $[(a - b)/a] \times$ 100%. Carotid stenosis was measured at the narrowest segment of the carotid plaque (b) on the axial images, perpendicular to the long axis of the vessel on multiplanar reformats by using a submillimeter measurement tool (Fig 1A). The distal ICA diameter (a) was measured beyond the bulb where the walls are parallel and no longer tapering per NASCET criteria. 16-18 We performed the multivariable regression analysis by using both the NASCET measurement of percentage diameter stenosis $[(a - b)/a] \times 100\%$ and a millimeter stenosis (b) measurement adapted from the millimeter stenosis method first described on CTA.¹⁹ To identify near-occlusions, we excluded an ICA from the percentage stenosis calculation if it met the following criteria: visible bulb stenosis, distal ICA diameter of \leq 3 mm, and distal ICA/distal ECA ratio of \leq 1.25. These criteria were further adapted from those used by Bartlett et al¹⁸ to recognize

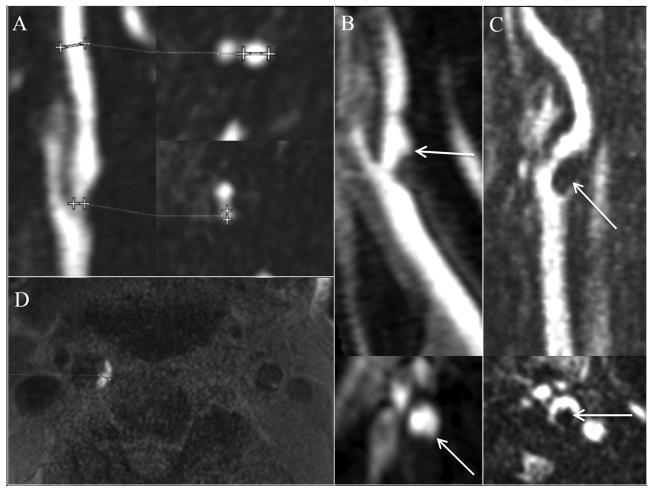


FIG 1. Carotid plaque imaging markers. Stenosis is measured by using percentage diameter stenosis [(a - b) / a] and millimeter stenosis (b) (A, cursors). The presence of ulceration is determined on contrast MRA images by using a 2-mm measurement threshold (B, arrow). Intraluminal thrombus is defined as a filling defect on contrast MRA images (C, arrow). IPH is defined by MPRAGE-positive plaque, by using a signal threshold of 2-fold signal intensity over the adjacent sternocleidomastoid muscle (D, right carotid artery is MPRAGE-positive; left side of image). Maximum plaque thickness is measured in the transverse plane on 3D MPRAGE image (D, cursors).

subtle near-occlusions on CTA and originally adapted from standard conventional angiography. 18,19

The presence of ulceration was determined on contrast MRA images by using a size threshold of 2 mm as previously described with CTA (Fig 1B). Intraluminal thrombus was defined by an intraluminal filling defect on MRA axial reformats, previously described on CTA (Fig 1C). In arteries from patients with renal failure (glomerular filtration rate, $< 30 \, \text{mL/min}/1.73 \, \text{m}^2$; 68/726; or 9.4% of carotidbrain image pairs), the above imaging markers were determined from 3D noncontrast TOF with 1-mm section thickness combined with duplex sonography. Maximum plaque thickness was measured in the transverse plane on MPRAGE images (Fig 1D). IPH was defined quantitatively as MPRAGE-positive plaque, with at least 1 voxel demonstrating at least a 2-fold higher signal intensity relative to the adjacent sternocleidomastoid muscle as previously described (Fig 1D); right carotid is MPRAGE-positive; left side of image).

Statistical Analysis

A mixed-effects multivariable logistic regression model was used. This model accounted for 2 vessels per patient. The multivariable logistic regression model was fitted for the outcome of carotid IPH, with carotid imaging predictors including percentage diam-

eter stenosis, millimeter stenosis, maximum plaque thickness, ulceration, and intraluminal thrombus. Clinical covariates included age, male sex, diabetes, hypertension, hyperlipidemia, and body mass index. Cardiovascular medication confounders included antihypertension, antiplatelet, anticoagulation, and statin medication classes. In addition, magnet strength (3T or 1.5T) was included as a potential confounder in the logistic regression model. A backward-elimination method was used to determine the final model, in which all remaining predictors had a P < .10. Odds ratios and P values were reported for each factor alone and for the factors found to be significant from the backward elimination. Receiver operating characteristic comparison analysis was performed to determine the discriminatory value of the final model compared with the following: 1) a model using only plaque thickness, 2) a model using only percentage stenosis as a continuous variable, and 3) a model by using only plaque ulceration. All statistical analyses were performed with STATA, Version 13.1 (Stata-Corp, College Station, Texas).

RESULTS

Patient Demographics

Patient demographics are listed by vessel and depicted in Table 1.

Carotid Plaque Markers and Stroke Imaging

The carotid imaging features used in this study included the outcome, IPH, and predictors, including percentage diameter stenosis, millimeter stenosis, maximum plaque thickness, ulceration, and intraluminal thrombus as described in the "Materials and Methods" section (Fig 1).

Multivariable Logistic Regression

Intraplaque hemorrhage predictors are depicted in Table 2,

Table 1: Patient demographics

Demographics **Carotid Stroke Predictor** by Vessel Male sex (No./total No.) (%) 387/726 (53.3) 64.2 (15.6) Age (yr) (mean) (SD) BMI (mean) (SD) (kg/m²) 28.4 (6.4) Smoking (No./total No.) (%) 138/726 (19.0) Current smoker Prior smoker 158/726 (21.8) 430/726 (59.2) Never smoked Hypertension (No./total No.) (%) 499/726 (68.7) Hyperlipidemia (No./total No.) (%) 358/726 (49.3) Diabetes (No./total No.) (%) 227/726 (31.3) Cardiovascular medications Antihypertension (No./total No.) (%) 412/726 (56.8) Statins (No./total No.) (%) 316/726 (43.5) Antiplatelet (No./total No.) (%) 294/726 (40.5) Anticoagulation (No./total No.) (%) 74/726 (10.2) Carotid plaque imaging markers Stenosis (mean) (SD) (%) 12.2 (23.1) Mild stenosis (0%-49%) (No./total No.) (%) 647/726 (89.1) Moderate stenosis (50%–69%) (No./total No.) (%) 45/726 (6.2) Severe stenosis (70%-99%) (No./total No.) (%) 34/726 (4.7) Stenosis (mean) (SD) (mm) 4.1 (1.2) Maximum plaque thickness (mean) (SD) (mm) 3.0 (1.6) 96/726 (13.2) Ulceration (No./total No.) (%) Intraluminal thrombus (No./total No.) (%) 19/726 (2.6) Intraplaque hemorrhage (No./total No.) (%) 65/726 (9.0) Magnet strength = 3T (No./total No.) (%) 58/726 (8.0)

Final Multivariable Logistic Regression Model

regression.

The final model for predictors of carotid IPH is depicted in Table 3. After backward elimination with a threshold of P < .10, the remaining significant factors predicting carotid IPH included maximum plaque thickness (OR = 2.20; P < .001; 95% confidence interval, 1.50–3.22), millimeter stenosis (OR = 0.46;

with odds ratios adjusted by using multivariable logistic

P < .001; 95% CI, 0.30-0.71), ulceration (OR = 4.25; P = .020; 95% CI, 1.25–14.4), age (OR = 1.11; P = .001; 95% CI, 1.05, 1.18), and male sex (OR =3.23; P = .077; 95% CI, 0.88, 11.9). Note that millimeter stenosis is a measure of the lumen diameter (b) at the level of stenosis described in the "Materials and Methods" section. Thus, carotid plaque with severe stenosis (small b) is associated with IPH, and carotid plaque without stenosis (large b) is not associated with IPH. This difference accounts for the seemingly counterintuitive OR of 0.46 in the final model. In addition, percentage diameter stenosis measured by NASCET is not in the final model due to the nonsignificant P > .10 during backward elimination. If, alternatively, millimeter stenosis was not measured, then percentage stenosis would meet the P < .10 criteria (full model: OR = 23.7; P = .003; 95% CI, 3.00-187.2; final model: OR = 31.0; P = .001; 95% CI, 3.89-246.2). However, because we measured both percentage and millimeter

Note:—BMI indicates body mass index.

Table 2: Multivariable logistic regression

Carotid-IPH Predictor	IPH+ (n = 65)	IPH- (n = 661)	OR	P Value	95% CI	
Cardiovascular risk factors						
Male sex (No./total No.) (%)	55/65 (70.0)	332/661 (50.2)	3.05	.104	0.79	11.7
Age (yr) (mean) (SD)	76.0 (9.7)	63.1 (15.6)	1.11	.003	1.04	1.19
BMI (yr) (mean) (kg/m²)	26.8 (4.0)	28.5 (6.6)	0.94	.325	0.84	1.06
Smoking (No./total No.) (%)						
Current smoker	12/65 (18.5)	126/661 (19.1)	1.52	.620	0.29	7.88
Prior smoker	24/65 (36.9)	134/661 (20.3)	1.74	.399	0.48	6.36
Hypertension (No./total No.) (%)	46/65 (70.8)	453/661 (68.5)	0.30	.126	0.06	1.41
Hyperlipidemia (No./total No.) (%)	46/65 (70.8)	312/661 (47.2)	1.08	.895	0.33	3.62
Diabetes (No./total No.) (%)	23/65 (35.4)	204/661 (30.9)	1.04	.948	0.33	3.30
Cardiovascular medications (No./total No.) (%)						
Antihypertension	43/65 (66.2)	369/661 (55.8)	2.70	.170	0.65	11.1
Statin	42/65 (64.6)	274/661 (41.5)	1.24	.749	0.33	4.70
Antiplatelet	38/65 (58.5)	256/661 (38.7)	1.07	.922	0.29	3.88
Anticoagulation	7/65 (10.8)	67/661 (10.1)	0.63	.628	0.10	4.00
Carotid plaque imaging markers						
Stenosis (mean) (SD) (%)	46.5 (30.1)	8.9 (19.3)	.09	.409	0.0003	25.7
Stenosis (mean) (SD) (mm)	2.5 (1.5)	4.3 (1.0)	0.31	.052	0.09	1.01
Maximum plaque thickness (mean) (SD) (mm)	5.4 (1.9)	2.8 (1.4)	2.26	<.001	0.09	1.01
Ulceration (No./total No.) (%)	34/65 (52.3)	62/661 (9.4)	4.36	.017	1.30	14.7
Intraluminal thrombus (No./total No.) (%)	5/65 (7.7)	14/661 (2.1)	0.49	.496	0.06	3.85
Magnet strength = $3T$ (No./total No.) (%)	16/65 (24.6)	42/661 (6.4)	1.63	.544	0.34	7.82

Note:—BMI indicates body mass index.

Table 3: Final model

Carotid IPH predictor	OR	P Value	95% CI	
Ulceration	4.25	.020	1.25	14.4
Male sex	3.23	.077	0.88	11.9
Maximum plaque thickness	2.20	<.001	1.50	3.22
Age	1.11	.001	1.05	1.18
Stenosis (mm)	0.46	<.001	0.30	0.71

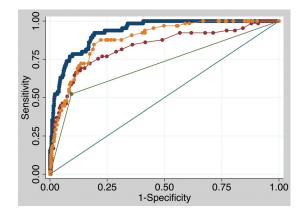


FIG 2. Receiver operating characteristic comparison analysis. The final model IPH discriminatory value is excellent (blue, AUC = 0.932). The final model discriminatory value (blue) is significantly higher than a model with maximum plaque thickness only (yellow, AUC = 0.881, P < .001), a model with millimeter stenosis only (red, AUC = 0.830, P < .001), and a model with ulceration only (green, AUC = 0.715, P < .001).

stenosis and millimeter stenosis was a better predictor of IPH, we have kept it in the final model.

Receiver Operating Characteristic Comparison Analysis

Receiver operating characteristic comparison analysis is shown in Fig 2. The final model discriminatory value was excellent (area under the curve [AUC] = 0.932) and was significantly higher than models using only plaque thickness (AUC = 0.881), only millimeter stenosis (AUC = 0.830), or only ulceration (AUC = 0.715, P < .001.

DISCUSSION

Along with other clinical and imaging factors, carotid IPH allows optimal prediction of carotid sources of stroke. Currently, optimal medical treatment for carotid IPH is unknown. It is, therefore, essential to determine predictors of IPH. These predictors could be used as surrogate markers to calculate the likelihood for IPH when MR imaging is not available or is contraindicated. In addition, these predisposing factors may serve as clues to the pathogenesis of IPH.

Lumen imaging is far more often used in the work-up of carotid-source stroke. CTA is also used more frequently than MRA, by as much as 4 times at our institutions. Lumen imaging of stenosis alone provides poor prediction of IPH. This study demonstrates that the presence of lumen markers, such as maximum plaque thickness, millimeter stenosis, and ulceration combined with the patient's age and whether the patient is male, predict carotid IPH with high discriminatory power.

These results indicate that plaque ulceration is strongly predictive of IPH. This finding may be because both ulceration and IPH are markers of unstable plaque and frequently coexist. Alternatively, IPH

may predispose to endothelial dysfunction, erosion, and eventual ulceration through proinflammatory effects of iron on reactive oxygen species formation.²² Plaque ulceration has been previously suggested as a surrogate marker of carotid IPH.¹² Our research argues that while ulceration is an essential predictor of IPH, it cannot fully act as a surrogate for IPH without determining the other clinical and imaging factors in the regression analysis. In the assessment of carotid stroke risk, the presence of ulceration alone could prompt further evaluation with MR imaging to assess IPH.

Our study also shows that maximum plaque thickness is an essential predictor of IPH. This suggests that larger plaques are inherently more unstable and prone to hemorrhage, potentially due to a larger lipid-rich core and/or a higher number or more permeable plaque neovessels. Delayed contrast imaging may allow better detection of lipid-rich cores, and dynamic contrastenhanced MR imaging may better characterize microvascularity predisposing to IPH. ^{23,24}

In addition to plaque thickness, millimeter stenosis was a significant indicator of IPH. Most interesting, millimeter stenosis was a better predictor than percentage diameter stenosis, and when both were used, percentage stenosis was eliminated from the final model due to its failure to achieve significance. In support of this, millimeter stenosis has been shown to be a reliable measurement with low interobserver variability. The single measurement of millimeter stenosis is without the inherent variability of NASCET ratios with 2 measurements and variable distal ICA caliber within and between patients.

It is unclear why millimeter stenosis adds further value to plaque thickness in the prediction of IPH. One possibility is that higher degrees of stenosis are associated with further impaired flow dynamics and oscillatory shear stress. In *apolipoprotein E* mice on a Western diet and treated with angiotensin II and carotid ligation, IPH develops in areas of stenosis and low wall shear stress. ²⁵ This is likely related to altered endothelial cell mechanotransduction, because low mean shear stress and oscillatory shear stress lead to endothelial reactive oxygen species formation in cell culture models. ²⁶⁻²⁹ The shear stress environment at branch points and stenotic vessels could act to sustain IPH through oxidative stress, chronic plaque inflammation, and sustained neovessel permeability.

Of the clinical factors assessed in this study, only patient age and male sex were found to significantly increase the risk of IPH. Both of these factors have been associated with IPH in recent research. Atherosclerosis has long been known to be an agerelated phenomenon. Arterial plaques form preferentially at branch points and may be fundamental to the process of aging, having been found in ancient mummies from at least 4 different cultures. Aging may lead to atherosclerosis via increased levels of oxidative stress, DNA damage, mitochondrial dysfunction, and altered balance of cell proliferation and apoptosis.

While the correlation with age is not surprising, what specific role male sex plays in the development of IPH remains to be seen. It has long been known that atherosclerosis incidence is lower in women compared with men, but this increases after menopause, suggesting an atheroprotective effect of estrogen. However, randomized controlled clinical trials have found no benefit to estrogen therapy in cardiovascular disease. Androgens may also benefit male patients through direct action on the vasculature or

through a more favorable lipid profile, though the effect of androgen therapy after andropause is unknown. ³⁴ There may also be sex differences accounting for platelet activation, coagulation, and endothelial cell function that may contribute to plaque inflammation and IPH. ³⁵

In conclusion, this study identifies lumen markers and clinical factors that can predict IPH with a high discriminatory power. These predictors provide clues to the pathogenesis of IPH. In addition, when MR imaging is not available or contraindicated, these markers may allow clinicians to estimate the likelihood of IPH being present. Identifying patients who are likely to be negative for IPH can prevent unneeded surgeries or interventions. Furthermore, prescreening patients before they undergo MRA can significantly improve the positive or negative predictive value of T1-weighted sequences in identifying IPH. This will be important in recruiting patients for future studies aimed at determining optimal IPH treatment.

CONCLUSIONS

Optimal prediction of carotid IPH is achieved by using information on maximum plaque thickness, millimeter stenosis, ulceration, patient age, and male sex. These factors can be used to determine IPH with a high discriminatory power and may provide clues to the pathogenesis of IPH. Together, they may also be used to determine whether IPH is present in patients in whom MR imaging is contraindicated.

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